

Appendix B

Responses to POGO questionnaire dated September 20, 2007,
received from presidentially-appointed (PCIE) Offices of Inspectors General

**Responses to POGO questionnaire dated September 20, 2007, received from
presidentially-appointed (PCIE) Offices of Inspectors General**

Q 1: How many staff members do you have in your office, and what are their functions?

| PCIE/OIG | Number of Staff Members | Functions |
|---|--------------------------------|--|
| Agency for International Development | 200 | IG Auditors Investigators Management IT HR Budget |
| Department of Agriculture | 619 | IG (1) Audits (292) Investigations (222) Office of Inspections and Research (7) Inspector General staff: counsel, compliance and integrity (22) Office of management (76) |
| Corporation for National and Community Service | 26 | IG (1) Auditors (9) Investigators (7) Executive or Support Groups (10) |
| Department of Education | 267 | IG Audits Inspections Investigations Management |
| Department of Energy | 226 | IG (1) Audits (122) Investigations (55) Inspections (34) Resource Management (15) |

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|--|-------------|---|
| Environmental Protection Agency | 290 | IG (1) Auditors (120) Evaluators (60) Investigators (60) Support Staff (50) |
| Federal Deposit Insurance Corporation | 126 | IG DIG (6) General Counsel (6) Auditors (45) Investigators (42) Management (27) |
| General Services Administration | 299 | |
| Department of Health and Human Services | 1570 | IG (1) Audits (700) Investigations (550) Evaluation (150) Counsel (75) Management (75) Administration (20) |
| Department of Homeland Security | 545 | IG (1) Executive Office (6) Office of Administration (41) Office of Audits (142) Counsel (12) Office of Emergency Management Oversight (75) Office of Information Technology (42) Office of Investigations (186) |
| Department of Housing and Urban Development | 650 | IG Investigators Auditors Evaluators Counsel Support Staff |

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|--|-------------|--|
| Department of Justice | 400 | IG Auditors Investigators Program Analysts Lawyers |
| National Aeronautics and Space Administration | 202 | IG (1) Audits (95) Investigations (75) Management (21) Front Office (4) Legal (6) |
| Nuclear Regulatory Commission | 51 | IG Auditors Investigators Counsel Administration |
| Small Business Association | ~100 | IG Auditors Programs Analysts Investigators Attorneys Administrative Support |
| Social Security Administration | 612 | |
| Department of State | 185 | IG (1) Audits (57) Investigations (17) Inspections (54) IT (9) Executive Office (34) Office of Counsel (5) Front Office (9) |
| Tennessee Valley Authority | 93 | IG (1) Auditors (51) Investigators (21) Administration (21) |

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|--|------------------------|---|
| Department of Transportation | 420¹ | IG (1) Investigative (124) Audits (245) Support (41) |
| Department of the Treasury | 105 | IG(1) Audits (62) Investigations (25) Support (18) |
| Department of the Treasury for Tax Administration | 7 | IG DIG Heads of Function Counsel EEO Officer Congressional/Media Liaison Special Assistant |
| Department of Veterans Affairs | 440 | IG Audit Investigations Healthcare Inspections Administrative |

¹Although DOT responded that they had 420 staff members, we counted 410.

Q 2: Can you spend your budget without further approval from your agency head? Do you have the authority to make hiring or contracting decisions to supplement your staff? If not, where do you turn for staffing resources?

| PCIE/OIG | Can you spend your budget without further approval from your agency head? | Do you have the authority to make hiring or contracting decisions to supplement your staff? | If not, where do you turn for staffing resources? |
|---|--|--|--|
| Agency for International Development | Yes | Yes | |
| Department of Agriculture | Yes | Yes | Information technology purchases greater than \$25k must be approved by CIO |
| Corporation for National and Community Service | Yes | Yes | |
| Department of Education | Yes | Yes | |
| Department of Energy | Yes | Yes | |
| Environmental Protection Agency | Yes | Yes | |
| Federal Deposit Insurance Corporation | Yes | Yes | |
| General Services Administration | No | Yes | The OIG is currently working on budgetary concerns |

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|--|------------|------------|--|
| Department of Health and Human Services | Yes | Yes | Must get approval from Secretary before filling SES positions |
| Department of Homeland Security | Yes | Yes | |
| Department of Housing and Urban Development | Yes | Yes | |
| Department of Justice | Yes | Yes | |
| National Aeronautics and Space Administration | Yes | Yes | |
| Nuclear Regulatory Commission | Yes | Yes | |
| Small Business Association | Yes | Yes | |
| Social Security Administration | Yes | NR | |
| Department of State | Yes | Yes | |
| Tennessee Valley Authority | Yes | Yes | |
| Department of Transportation | Yes | Yes | |
| Department of the Treasury | Yes | Yes | |
| Department of the Treasury for Tax Administration | Yes | Yes | |
| Department of Veterans Affairs | Yes | Yes | |

Q 3: Do you have your own counsel? If not, from what entity do you seek legal advice?

| PCIE/OIG | Do you have your own counsel? | If not, from what entity do you seek legal advice? |
|---|--------------------------------------|--|
| Agency for International Development | Yes | |
| Department of Agriculture | Yes | |
| Corporation for National and Community Service | Yes | |
| Department of Education | Yes | |
| Department of Energy | Yes | |
| Environmental Protection Agency | Yes | |
| Federal Deposit Insurance Corporation | Yes | |
| General Services Administration | Yes | Legal advice is also sought from other OIGs and DoJ |
| Department of Health and Human Services | Yes | |
| Department of Homeland Security | Yes | |
| Department of Housing and Urban Development | Yes | |
| Department of Justice | Yes | |
| National Aeronautics and Space Administration | Yes | |
| Nuclear Regulatory Commission | Yes | |
| Small Business Association | Yes | |
| Social Security Administration | Yes | |

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| Department of State | Yes | |
| Tennessee Valley Authority | Yes | |
| Department of Transportation | Yes | |
| Department of the Treasury | Yes | |
| Department of the Treasury for Tax Administration | Yes | |
| Department of Veterans Affairs | Yes | |

Q 4: Does your agency web site have a link to your page that is easy to find? Do you need to obtain agency approval for postings to your page on the agency website?

| PCIE/OIG | Easy to find website link? Yes/No | Agency approval? Yes/No | Details |
|---|--|--------------------------------|--|
| Agency for International Development | Yes, but it could be easier to find | No | |
| Department of Agriculture | Yes | No | |
| Corporation for National and Community Service | Yes | No | |
| Department of Education | Yes | No | |
| Department of Energy | Yes | No | |
| Environmental Protection Agency | Yes | No | |
| Federal Deposit Insurance Corporation | Yes | No | |
| General Services Administration | Yes | Yes | OIG is required to follow a web design guide |
| Department of Health and Human Services | Yes | No | |
| Department of Homeland Security | No | No | The link to the OIG website is not easily accessible from the front or homepage of the DHS public website |

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|--|------------|-----------|---|
| Department of Housing and Urban Development | Yes | No | |
| Department of Justice | Yes | No | |
| National Aeronautics and Space Administration | Yes | No | |
| Nuclear Regulatory Commission | Yes | No | |
| Small Business Association | No | No | |
| Social Security Administration | Yes | NR | |
| Department of State | Yes | No | |
| Tennessee Valley Authority | Yes | No | |
| Department of Transportation | Yes | No | |
| Department of the Treasury | Yes | No | |
| Department of the Treasury for Tax Administration | No | No | There is an easy to find link on the IRS website, but not on the Treasury website. Initial permission for the link was required, but the content is within the OIG's control |
| Department of Veterans Affairs | Yes | No | |

Q 5: Does your agency have any other internal investigative unit whose function may conflict or overlap with yours?

| PCIE/OIG | Overlapping investigative unit? Yes/No | Details |
|---|---|--|
| Agency for International Development | No | |
| Department of Agriculture | Yes | Through an MoU, the OIG coordinates potential overlapping jurisdictional issues with other USDA investigative and compliance units |
| Corporation for National and Community Service | No | |
| Department of Education | No | |
| Department of Energy | No | |
| Environmental Protection Agency | No | |
| Federal Deposit Insurance Corporation | No | |
| General Services Administration | No | |
| Department of Health and Human Services | Yes | FDA's Office of Internal Affairs-OIG recently withdrew from a 1988 MoU allowing OIA to investigate criminal violations by FDA employees. Now transferring all potential criminal employee misconduct to OIG |

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| Department of Homeland Security | Yes | There are many law enforcement agencies within DHS that conduct internal reviews and inspections. The OIG has MoUs with these agencies |
| Department of Housing and Urban Development | No | |
| Department of Justice | Yes | OPR |
| National Aeronautics and Space Administration | No | |
| Nuclear Regulatory Commission | No | |
| Small Business Association | No | |
| Social Security Administration | No | |
| Department of State | Yes | |
| Tennessee Valley Authority | No | |
| Department of Transportation | No | |
| Department of the Treasury | No | |
| Department of the Treasury for Tax Administration | See Details | TIGTA has an MOU with the IRS setting forth jurisdictional authority regarding certain tax investigations |
| Department of Veterans Affairs | Yes | VA Police and VA hospitals. However, they coordinate and do not have problems |