

## Appendix C

Responses to POGO questionnaire dated September 14, 2007,  
received from agency-appointed (DFE) Offices of Inspectors General

**Responses to POGO questionnaire dated September 14, 2007, received from  
agency-appointed (DFE) Offices of Inspectors General**

**Q 1: How many staff members do you have in your office, and what are their functions?**

<b>DFE/OIG</b>	<b>Number of Staff Members</b>	<b>Functions</b>
<b>Appalachian Regional Commission</b>	<b>3</b>	<b>IG (1) Auditor (1) Confidential Assistant (1)</b>
<b>Capitol Police<sup>1</sup></b>	<b>4</b>	<b>IG (1) Assistant IG for Audits (1) Assistant IG for Investigations (1) Chief of Staff (1)</b>
<b>Consumer Product Safety Commission</b>	<b>2</b>	<b>IG (1) Auditor (1)</b>
<b>Corporation for Public Broadcasting</b>	<b>9.1</b>	<b>IG (1) Senior Director &amp; DIG (1) IG Counsel &amp; Asst. IG (.6) (24 hrs/wk) Team Leaders (3) Senior Auditors/Evaluators (2.5) Support (1)</b>
<b>Director of National Intelligence<sup>2</sup></b>	<b>NR</b>	<b>Investigators Inspectors Auditors</b>
<b>Election Assistance Commission</b>	<b>1</b>	<b>Inspector General is the only employee in the office. Access to one auditor from DOI</b>

---

<sup>1</sup>Because the Capitol Police is a legislative entity, it's technically not a DFE.

<sup>2</sup>Although the Office of the Director of National Intelligence maintained it is not a statutory IG, it did respond to POGO's survey.

<b>Equal Employment Opportunity Commission</b>	<b>11</b>	<b>IG (1) Dep. IG (1) Counsel to IG (1) Auditors (2) Criminal Investigators (2) Evaluator (1) Management Analyst (1) Administrative Specialist (1) Assistant (1)</b>
<b>Farm Credit Administration</b>	<b>5</b>	<b>IG (1) General Counsel (1) Senior Auditor (1) Senior IT Auditor (1) Administrative Assistant (1)</b>
<b>Federal Election Commission</b>	<b>4</b>	<b>IG (1) DIG (1) Senior Auditor (1) Special Assistant (1)</b>
<b>Federal Housing Finance Board</b>	<b>4</b>	<b>IG (1) Director of Audits (1) Senior Auditor (1) Administrative Office (1) Manager<sup>3</sup> (1)</b>
<b>Federal Labor Relations Authority</b>	<b>2</b>	<b>IG (1) Administrative Assistant (1)</b>
<b>Federal Maritime Commission</b>	<b>2</b>	<b>IG (1) Audit Manager (1)</b>
<b>Federal Reserve Board</b>	<b>32</b>	<b>Auditors (22) Investigators (4) Attorneys (2) IT Staff (2) Administrative Staff (2)</b>

---

<sup>3</sup>No staff investigator, but access to detailees from other agencies, contract investigators, plus resources shared by FBI & U.S. Marshal Service, etc.

<b>Federal Trade Commission</b>	<b>5</b>	<b>IG (1)</b> <b>General Counsel (part-time) (1)</b> <b>Lead Investigator (1)</b> <b>Audit Manager (1)</b> <b>Office Manager (1)</b>
<b>Government Printing Office<sup>4</sup></b>	<b>23</b>	<b>IG</b> <b>Audits &amp; Inspections</b> <b>Investigations</b> <b>Administrative</b>
<b>International Trade Commission</b>	<b>2</b>	<b>Acting IG, also serves as Asst. IG for audits (1)</b> <b>Part-time Counsel, also serves as investigator (1)</b>
<b>Library of Congress<sup>5</sup></b>	<b>17</b>	<b>IG (1)</b> <b>Administrative (1)</b> <b>Investigations (4)</b> <b>Audits (11)</b> <b>Contract General Counsel (12 hrs/wk) (1)</b>
<b>National Archives and Records Administration</b>	<b>17</b>	<b>IG (1)</b> <b>General Counsel (11)</b> <b>AIGI (1)</b> <b>Special Agents (3)</b> <b>Investigative Archivist (1)</b> <b>Forensic Auditor (1)</b> <b>AIGA (1)</b> <b>Auditors (7)</b> <b>Office Manager (1)</b>

---

<sup>4</sup>Because the GPO is a legislative entity, it's technically not a DFE.

<sup>5</sup>Because the Library of Congress is a legislative entity, technically not a DFE.

<b>National Credit Union Administration</b>	<b>8</b>	<b>IG (1) Assistant IG for Audits (1) General Counsel (1) Director of Investigations (1) Senior Auditors (3) Office Manager (1)</b>
<b>National Endowment for the Arts</b>	<b>3</b>	<b>IG (1) Auditor (1) Program Analyst (1)</b>
<b>National Endowment for the Humanities</b>	<b>5</b>	<b>IG (1) Deputy IG (1) Senior Auditors (2) Assistant (1)</b>
<b>National Labor Relations Board</b>	<b>7</b>	<b>IG (1) Attorney/Investigator (1) Auditors (4) Support Staff (1)</b>
<b>National Science Foundation</b>	<b>71</b>	<b>IG (1) Auditors (35) Investigators (24) Administrative (11)</b>
<b>Peace Corps</b>	<b>20</b>	<b>Audits Investigations Program Evaluations</b>
<b>Pension Benefits Guaranty Corporation</b>	<b>23</b>	<b>Investigators (6) Auditors (14) Support (2)</b>
<b>Postal Regulatory Commission</b>	<b>2</b>	<b>IG (1) Auditor on detail from another OIG (1)</b>

<b>Postal Service</b>	<b>1147</b>	<b>Investigators (653) Auditors/Evaluators (332) HR, Budget, Accounting, Facilities, IT, General Counsel (242)</b>
<b>Securities and Exchange Commission</b>	<b>11</b>	<b>IG (1) DIG (1) Auditors (5) Attorneys/Investigators (3) contract administrative (1)</b>
<b>Special Inspector General for Iraq Reconstruction</b>	<b>106</b>	<b>Audit Directorate Investigations Directorate Inspections Directorate Congressional Report Production Administrative Press</b>

**Q 2: Can you spend your budget without further approval from your agency head? Do you have the authority to make hiring or contracting decisions to supplement your staff? If not, where do you turn for staffing resources?**

<b>DFE/OIG</b>	<b>Can you spend your budget without further approval from your agency head?</b>	<b>Do you have the authority to make hiring or contracting decisions to supplement your staff?</b>	<b>If not, where do you turn for staffing resources?</b>
<b>Appalachian Regional Commission</b>	<b>Yes</b>	<b>Yes</b>	
<b>Capitol Police</b>	<b>Yes</b>	<b>Yes</b>	
<b>Consumer Product Safety Commission</b>	<b>Yes</b>	<b>Yes</b>	
<b>Corporation for Public Broadcasting</b>	<b>Yes</b>	<b>Yes</b>	
<b>Director of National Intelligence</b>	<b>Yes</b>	<b>Yes</b>	
<b>Election Assistance Commission</b>	<b>Yes</b>	<b>Yes</b>	
<b>Equal Employment Opportunity Commission</b>	<b>Yes</b>	<b>Yes</b>	
<b>Farm Credit Administration</b>	<b>Yes</b>	<b>Yes</b>	
<b>Federal Election Commission</b>	<b>Yes</b>	<b>Yes</b>	

<b>Federal Housing Finance Board</b>	<b>Yes</b>	<b>No</b>	<b>Any new staff position has to be requested and approved by the Board, usually during the budget process</b>
<b>Federal Labor Relations Authority</b>	<b>No</b>		
<b>Federal Maritime Commission</b>	<b>Yes</b>	<b>No</b>	<b>MoU with two OIGs and the agency's GC</b>
<b>Federal Reserve Board</b>	<b>Yes</b>	<b>Yes</b>	
<b>Federal Trade Commission</b>	<b>Yes</b>	<b>Agency approval required to exceed allocated staff positions.</b>	<b>Supplement staffing by contracting out some audits and obtaining assistance from other OIGs. Budget includes funds for contract assistance</b>
<b>Government Printing Office</b>	<b>All spending is subject to approval by our Controller.</b>	<b>Yes</b>	<b>The OIG has been capped at 23 staff members by the agency head</b>
<b>International Trade Commission</b>	<b>No</b>	<b>No</b>	<b>They turn to the chairman for staffing resources, but the Chairman has not allowed them to fill the vacant position</b>
<b>Library of Congress</b>	<b>Yes</b>	<b>Yes</b>	



<b>National Archives and Records Administration</b>	<b>Yes</b>	<b>No</b>	<b>Personnel actions have to receive concurrence of agency head. Contracting decisions have to follow normal agency process, and OIG constrained by budget</b>
<b>National Credit Union Administration</b>	<b>Yes</b>	<b>Yes</b>	
<b>National Endowment for the Arts</b>	<b>N/R</b>	<b>No</b>	
<b>National Endowment for the Humanities</b>	<b>Yes</b>	<b>No</b>	<b>For contracts and staffing, it is necessary to justify requests through the Office of Strategic Planning</b>
<b>National Labor Relations Board</b>	<b>No</b>	<b>No</b>	<b>Chairman and General Counsel</b>
<b>National Science Foundation</b>	<b>Yes</b>	<b>Yes</b>	
<b>Peace Corps</b>	<b>No</b>	<b>No</b>	<b>Must request approval from agency for new positions</b>
<b>Pension Benefits Guaranty Corporation</b>	<b>Yes</b>	<b>Yes</b>	
<b>Postal Regulatory Commission</b>	<b>Yes</b>	<b>Yes, within budget</b>	
<b>Postal Service</b>	<b>Yes</b>	<b>Yes</b>	

<b>Securities and Exchange Commission</b>	<b>Yes, within budget</b>	<b>Yes, within budget and authorized ceiling</b>	<b>Sometimes gets help from other OIGs</b>
<b>Special Inspector General for Iraq Reconstruction</b>	<b>Yes</b>	<b>Yes</b>	

**Q 3: Do you have your own counsel? If not, from what entity do you seek legal advice?**

<b>DFE/OIG</b>	<b>Do you have your own counsel?</b>	<b>If not, from what entity do you seek legal advice?</b>
<b>Appalachian Regional Commission</b>	<b>No</b>	<b>Has used Agency's General Counsel; would use another OIG's counsel if necessary</b>
<b>Capitol Police</b>	<b>No</b>	<b>Other OIG Counsel</b>
<b>Consumer Product Safety Commission</b>	<b>No</b>	<b>IG is Counsel</b>
<b>Corporation for Public Broadcasting</b>	<b>Yes</b>	
<b>Director of National Intelligence</b>	<b>Yes</b>	<b>They also consult with ONDI General Counsel</b>
<b>Election Assistance Commission</b>	<b>No</b>	<b>Agency's General Counsel</b>
<b>Equal Employment Opportunity Commission</b>	<b>Yes</b>	
<b>Farm Credit Administration</b>	<b>Yes</b>	
<b>Federal Election Commission</b>	<b>No</b>	<b>Utilizes an attorney from the Office of General Counsel</b>
<b>Federal Housing Finance Board</b>	<b>No</b>	<b>MoU with FDIC OIG</b>
<b>Federal Labor Relations Authority</b>	<b>No</b>	<b>Uses NLRB IG for legal consultation</b>
<b>Federal Maritime Commission</b>	<b>No</b>	<b>MoU with agency's general counsel, but relies more on advice from OIG peers</b>
<b>Federal Reserve Board</b>	<b>Yes</b>	
<b>Federal Trade Commission</b>	<b>Yes</b>	

<b>Government Printing Office</b>	<b>Yes</b>	
<b>International Trade Commission</b>	<b>Yes</b>	
<b>Library of Congress</b>	<b>Yes, but only works 12 hour per week</b>	
<b>National Archives and Records Administration</b>	<b>Yes</b>	<b>When position is vacant or more legal help is required, they turn to other OIGs</b>
<b>National Credit Union Administration</b>	<b>Yes</b>	
<b>National Endowment for the Arts</b>	<b>No</b>	<b>MoU with agency's general counsel.</b>
<b>National Endowment for the Humanities</b>	<b>No</b>	<b>Deputy general counsel becomes IG counsel when needed. Normally works well, but recently the General Counsel's office has been understaffed. Have requested funds for contract attorney</b>
<b>National Labor Relations Board</b>	<b>Yes</b>	
<b>National Science Foundation</b>	<b>Yes</b>	
<b>Peace Corps</b>	<b>Yes</b>	<b>Vacant at present</b>
<b>Pension Benefits Guaranty Corporation</b>	<b>Yes</b>	<b>Dep IG/GC</b>
<b>Postal Regulatory Commission</b>	<b>No</b>	<b>IG is a lawyer</b>
<b>Postal Service</b>	<b>Yes</b>	
<b>Special Inspector General for Iraq Reconstruction</b>	<b>Yes</b>	
<b>Securities and Exchange Commission</b>	<b>Yes</b>	

**Q 4: Does your office's budget have a line item in your agency's budget?**

<b>DFE/OIG</b>	<b>Line item? Yes/No</b>	<b>Details</b>
<b>Appalachian Regional Commission</b>	<b>No</b>	<b>However, justification document to OMB has it as a line item</b>
<b>Capitol Police</b>	<b>Yes</b>	
<b>Consumer Product Safety Commission</b>	<b>No</b>	
<b>Corporation for Public Broadcasting</b>	<b>Yes</b>	<b>CPB submits amount of appropriation to OMB. OIG submits budget request to board; it is the board's responsibility to see that funds are received</b>
<b>Director of National Intelligence</b>	<b>Yes</b>	
<b>Election Assistance Commission</b>	<b>No</b>	<b>Currently working with the agency to establish a line item in the budget</b>
<b>Equal Employment Opportunity Commission</b>	<b>No</b>	
<b>Farm Credit Administration</b>	<b>No</b>	
<b>Federal Election Commission</b>	<b>No</b>	
<b>Federal Housing Finance Board</b>	<b>NR</b>	<b>Agency's budget is not approved by OMB or Congress</b>
<b>Federal Labor Relations Authority</b>	<b>No</b>	<b>It is part of the Chairman's authority budget</b>
<b>Federal Maritime Commission</b>	<b>No</b>	<b>Not for the OMB or Congress budget, but it is listed internally</b>
<b>Federal Reserve Board</b>	<b>Yes</b>	

<b>Federal Trade Commission</b>	<b>Yes</b>	
<b>Government Printing Office</b>	<b>Yes</b>	
<b>International Trade Commission</b>	<b>No</b>	
<b>Library of Congress</b>	<b>No</b>	
<b>National Archives and Records Administration</b>	<b>No</b>	<b>This is an issue that the OIG has been aggressively pursuing</b>
<b>National Credit Union Administration</b>	<b>Yes</b>	
<b>National Endowment for the Arts</b>	<b>No</b>	
<b>National Endowment for the Humanities</b>	<b>No</b>	<b>All administration costs are disclosed as one line item</b>
<b>National Labor Relations Board</b>	<b>Yes</b>	
<b>National Science Foundation</b>	<b>Yes</b>	
<b>Peace Corps</b>	<b>Yes</b>	
<b>Pension Benefits Guaranty Corporation</b>	<b>Yes</b>	
<b>Postal Regulatory Commission</b>	<b>No</b>	
<b>Postal Service</b>	<b>No</b>	
<b>Securities and Exchange Commission</b>	<b>Yes</b>	
<b>Special Inspector General for Iraq Reconstruction</b>	<b>Yes</b>	

**Q 5: Does your agency web site have a link to your page that is easy to find? Do you need to obtain agency approval for postings to your page on the agency website?**

<b>DFE/OIG</b>	<b>Easy to find website link? Yes/No</b>	<b>Agency approval? Yes/No</b>	<b>Details</b>
<b>Appalachian Regional Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Capitol Police</b>	<b>Yes</b>	<b>No</b>	
<b>Consumer Product Safety Commission</b>	<b>Yes</b>	<b>Yes</b>	<b>Agency approval for website postings has functioned as a formality</b>
<b>Corporation for Public Broadcasting</b>	<b>Yes</b>	<b>No</b>	
<b>Director of National Intelligence</b>	<b>Yes</b>	<b>No</b>	
<b>Election Assistance Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Equal Employment Opportunity Commission</b>	<b>Yes</b>	<b>Depends on the agency head</b>	<b>Under current chairman all submissions have been posted without delay or questions.</b>
<b>Farm Credit Administration</b>	<b>Yes</b>	<b>No</b>	
<b>Federal Election Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Federal Housing Finance Board</b>	<b>Yes</b>	<b>No</b>	

<b>Federal Labor Relations Authority</b>	<b>Yes</b>	<b>No</b>	<b>Executive Director must approve before IG information is added to website</b>
<b>Federal Maritime Commission</b>	<b>Yes</b>	<b>No</b>	<b>Reports started being published on the web in 2006. Webmaster has sought to limit postings, but has only succeeded once</b>
<b>Federal Reserve Board</b>	<b>No</b>	<b>No</b>	
<b>Federal Trade Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Government Printing Office</b>	<b>Yes</b>	<b>No</b>	
<b>International Trade Commission</b>	<b>Yes</b>	<b>No</b>	<b>It is easier to find from the intranet than the internet</b>
<b>Library of Congress</b>	<b>There is a link, but not easy to find</b>	<b>Yes</b>	<b>At agency head insistence, OIG does not publish reports.</b>
<b>National Archives and Records Administration</b>	<b>There is a link, but it could be more visible</b>	<b>Yes</b>	<b>Will begin to post audit reports shortly</b>
<b>National Credit Union Administration</b>	<b>Yes</b>	<b>No</b>	
<b>National Endowment for the Arts</b>	<b>Yes</b>	<b>No</b>	<b>OIG goes through the agency's webmaster.</b>
<b>National Endowment for the Humanities</b>	<b>Yes</b>	<b>No</b>	<b>The link is near the top of the agency's office directory.</b>



<b>National Labor Relations Board</b>	<b>Yes</b>	<b>No</b>	
<b>National Science Foundation</b>	<b>Yes</b>	<b>No</b>	
<b>Peace Corps</b>	<b>Yes</b>	<b>Yes</b>	
<b>Pension Benefits Guaranty Corporation</b>	<b>Yes</b>	<b>No</b>	
<b>Postal Regulatory Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Postal Service</b>	<b>Yes</b>	<b>No</b>	
<b>Securities and Exchange Commission</b>	<b>Yes</b>	<b>No</b>	
<b>Special Inspector General for Iraq Reconstruction</b>	<b>Yes</b>	<b>N/R</b>	

**Q 6: Does your agency have any other internal investigative unit whose function may conflict or overlap with yours?**

<b>DFE/OIG</b>	<b>Overlapping investigative unit? Yes/No</b>	<b>Details</b>
<b>Appalachian Regional Commission</b>	<b>No</b>	
<b>Capitol Police</b>	<b>No</b>	
<b>Consumer Product Safety Commission</b>	<b>No</b>	
<b>Corporation for Public Broadcasting</b>	<b>No</b>	
<b>Director of National Intelligence</b>	<b>No</b>	
<b>Election Assistance Commission</b>	<b>No</b>	
<b>Equal Employment Opportunity Commission</b>	<b>Sometimes</b>	<b>A Security Office which coordinates with the OIG. There is rarely overlap</b>
<b>Farm Credit Administration</b>	<b>No</b>	
<b>Federal Election Commission</b>	<b>Not Officially</b>	<b>Office of General Counsel occasionally gets involved in personnel investigations</b>
<b>Federal Housing Finance Board</b>	<b>No</b>	
<b>Federal Labor Relations Authority</b>	<b>Not Officially</b>	
<b>Federal Maritime Commission</b>	<b>No</b>	
<b>Federal Reserve Board</b>	<b>No</b>	
<b>Federal Trade Commission</b>	<b>No</b>	

<b>Government Printing Office</b>	<b>No</b>	
<b>International Trade Commission</b>	<b>Yes</b>	<b>Board of inquiry investigates missing tangible property</b>
<b>Library of Congress</b>	<b>Yes</b>	<b>The U.S. Capital Police; they are trying to work out an MoU</b>
<b>National Archives and Records Administration</b>	<b>No</b>	
<b>National Credit Union Administration</b>	<b>No</b>	
<b>National Endowment for the Arts</b>	<b>No</b>	
<b>National Endowment for the Humanities</b>	<b>No</b>	
<b>National Labor Relations Board</b>	<b>No</b>	
<b>National Science Foundation</b>	<b>No</b>	
<b>Peace Corps</b>	<b>No</b>	
<b>Pension Benefits Guaranty Corporation</b>	<b>No</b>	
<b>Postal Regulatory Commission</b>	<b>No</b>	
<b>Postal Service</b>	<b>Yes</b>	
<b>Securities and Exchange Commission</b>	<b>No</b>	
<b>Special Inspector General for Iraq Reconstruction</b>	<b>N/A</b>	<b>SIGR is an independent agency</b>