

**Dolan, John**

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**From:** Kokontis, Bill [Bill.Kokontis@cmegroup.com]  
**Sent:** Wednesday, November 24, 2010 6:43 PM  
**To:** Dolan, John  
**Subject:** draft notification of Bill Kokontis one-year bar

John, is this right? I really appreciate the sample. I will probably want to review with you my continuing obligations and bars (the 2 yr period), such as further notifications. Thx, again, and Happy Thanksgiving. BK

draft:  
Via email and hardcopy

Mr. Dan Berkovitz  
General Counsel  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> St, NW  
Washington, DC. 20581

Re: Conclusion of Bar period

Dear Mr. Berkovitz:

This letter is to provide you with notice of the expiration, as of November 28, 2010, of the year during which, as a senior employee of the Commission, I was barred pursuant to Commission Regulation Section 140.735-6(c) from knowingly making "with the intent to influence, any communication to or appearance before the Commission on behalf of any other person in connection with any matter in which such person seeks official action by the Commission."

I am aware of the reporting requirement under Commission Regulation Section 140.735-6(e) and other continuing requirements under the government ethics legislation and CFTC rules, including the bars in Sections 140.735-6 (a) and (b), and under 18 USC 207. Subject to these limitations, I wish to indicate hereby my intention to communicate with the Commission or staff from time to time on behalf of my employer, CME Group.

Please confirm that you have received this notice.

Sincerely,

William C. Kokontis  
Director, Chicago Market Surveillance  
Market Regulation  
CME Group  
20 South Wacker Drive  
Chicago, Illinois 60606

[Bill.kokontis@cmegroup.com](mailto:Bill.kokontis@cmegroup.com)

Office 312-435-3665

Cell 312-420-9242

cc John Dolan